

## Fair Political Practices Commission

### 2021 Filing Schedule for Committees Primarily Formed to Support/Oppose State Measures (Not Being Voted Upon in 2021)

<i>Deadline</i>	<i>Period</i>	<i>Form</i>	<i>Notes</i>
<b>Within 3 Business Days</b> <i>Top Ten Contributor List</i>	<b>Ongoing</b>	<a href="#">T-10</a>	<ul style="list-style-type: none"> <li>Committees (primarily formed to support or oppose a state ballot measure) that raise \$1,000,000 or more, and that have received contributions of \$10,000 or more from a single source, must file a list of their top ten contributors. <b>If the requirement is triggered within 16 days before the election, the Form T-10 must be filed within 2 business days.</b></li> <li>The Form T-10 must be filed with the FPPC by e-mail only.</li> </ul>
<b>Within 10 Business Days</b> <i>\$5,000 Report</i>	<b>1/1/21 – 12/31/21</b>	<a href="#">497</a>	<p><b>Only E-Filers file this report:</b></p> <ul style="list-style-type: none"> <li>File if a contribution of \$5,000 or more is received from a single source.</li> <li>No paper copy is required.</li> <li>File within 10 business days of receipt of contribution.</li> </ul>
<b>Feb 1, 2021</b> <i>Semi-Annual</i>	<b>* - 12/31/20</b>	<a href="#">460</a>	<ul style="list-style-type: none"> <li>All committees must file this statement.</li> </ul>
<b>Apr 30, 2021</b> <i>Quarterly</i>	<b>1/1/21 – 3/31/21</b>	<a href="#">460</a>	<ul style="list-style-type: none"> <li><b>Committees formed for a measure not yet voted upon:</b> Committees formed for a measure to be voted upon in a future election (e.g., 2022) must file quarterly reports until the pre-election period begins for the election in which the measure will be voted upon, regardless of activity.</li> <li><b>Committees formed for measures already voted upon:</b> File only if contributions or expenditures are made in connection with another measure.</li> </ul>
<b>Aug 2, 2021</b> <i>Semi-Annual</i>	<b>1/1/21 – 6/30/21</b>	<a href="#">460</a>	<ul style="list-style-type: none"> <li>All committees must file this statement.</li> <li>Period covered is 4/1/21 – 6/30/21 if a quarterly report was filed.</li> </ul>
<b>Nov 1, 2021</b> <i>Quarterly</i>	<b>7/1/21 – 9/30/21</b>	<a href="#">460</a>	<ul style="list-style-type: none"> <li><b>Committees formed for a measure not yet voted upon:</b> Committees formed for a measure to be voted upon in a future election (e.g., 2022) must file quarterly reports until the pre-election period begins for the election in which the measure will be voted upon, regardless of activity.</li> <li><b>Committees formed for measures already voted upon:</b> File only if contributions or expenditures are made in connection with another measure.</li> </ul>
<b>Jan 31, 2022</b> <i>Semi-Annual</i>	<b>7/1/21 – 12/31/21</b>	<a href="#">460</a> or <a href="#">470</a>	<ul style="list-style-type: none"> <li>All committees must file this statement.</li> <li>Period covered is 10/1/21 – 12/31/21 if a quarterly report was filed.</li> </ul>

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## Additional Notes:

- **\*Period Covered:** The period covered by any statement begins on the day after the closing date of the last statement filed, or January 1 if no previous statement has been filed.
- **Deadline Extensions:** Deadlines are extended when they fall on a Saturday, Sunday, or an official state holiday. This extension does not apply to a 24-Hour/10-Day Contribution Report (Form 497) that is due the weekend before the election, and this extension never applies to any 24-Hour/10-Day Independent Expenditure Report (Form 496). Such reports must be filed within 24 hours, regardless of the day of the week.
- **E-Filer:** A state committee that has received contributions or made expenditures totaling \$25,000 or more.
- **Form 460:** All state committees, including E-Filers, must also file paper statements. Paper filings may be filed by first class mail unless otherwise noted.
- **Multipurpose Organizations (including non-profits):** A multipurpose organization that uses its general dues account to make contributions or expenditures may qualify as a major donor or independent expenditure committee and may be required to report payments on Form 461. Such an organization will qualify as a recipient committee if expenditures exceed \$50,000 in a 12-month period or \$100,000 in four consecutive calendar years. An organization that qualifies as a recipient committee may need to file statements disclosing contributors. For more information, see FPPC's fact sheet, [Multipurpose Organizations Reporting Political Spending](#).
- **Paid Spokesperson Report (Form 511):** File within 10 days of making either of the following expenditures related to an advertisement to support or oppose a ballot measure: 1) A payment totaling \$5,000 or more to an individual to appear in an advertisement, or 2) A payment of any amount to an individual portraying a member of a licensed occupation (e.g., nurse, doctor, firefighter). E-filers must also file a paper copy.
- **State Contribution Limits:** Refer to the [contribution limits chart](#) on the FPPC website.
- **10-Day State Ballot Measure Expenditure Reports for Contributions or Independent Expenditures Made:**
  - o Report each contribution (Form 497) or independent expenditure (Form 496) totaling \$5,000 or more made to support or oppose the qualification or passage of a **different** state ballot measure.
  - o List all new contributions of \$100 or more received by the committee that have not been previously reported up through the date of the \$5,000 or more payment.
  - o Must be filed **within 10 business days** of the contribution or expenditure.
  - o E-File only – no paper copy.
- **Expenditures for Local Elections:** 24-hour independent expenditure or contribution reports (Forms 496 or 497) must be filed if independent expenditures or contributions totaling \$1,000 or more are made during the 90-day period prior to an election or on the date of the election. Independent expenditures of \$1,000 or more also require the filing of Form 462. Contact local jurisdictions for information on local restrictions (e.g., contribution limits), if any.
- **Penalties:** Failure to file a statement on time subjects a committee to a fine of \$10 per day on both the paper and the e-filed version (i.e., \$20 per day for a late e-filed Form 460). Failure to file a statement could result in an enforcement penalty of \$5,000 per violation.
- **State Special Elections:** If a state special election is held in 2021, a filing schedule for that election will be posted on the FPPC website. Additional statements may be required.
- **Ballot Measure Committees Controlled by Candidates for State Elective Office:** Regulation 18521.5 imposes additional restrictions on expenditures and identification disclaimers.
- **Public Documents:** All statements are public documents.
- **Resources:** Campaign manuals and other instructional materials are available [here](#). Or, visit [www.fppc.ca.gov](http://www.fppc.ca.gov) > Learn > Campaign Rules.