



FAIR POLITICAL PRACTICES COMMISSION

428 J Street • Suite 620 • Sacramento, CA 95814-2329

(916) 322-5660 • Fax (916) 322-0886

To: Chair Ravel and Commissioners Eskovitz, Garrett, Montgomery and Rotunda

From: Gary S. Winuk, Chief of Enforcement

Subject: Monthly Report on Enforcement Division Activities

Date: September 22, 2011

Enforcement Division

Between the period of May 3, 2011, and September 6, 2011, the Enforcement Division opened 466 proactive cases and received 35 sworn complaints. Four of these sworn complaints are currently in the intake process, 9 were assigned to active investigation, 8 were closed with warning letters, 4 were closed with advisory letters and 10 were closed without action. During this time, the Division closed a total of 388 cases with 178 receiving warning letters, 15 receiving advisory letters, 20 receiving no violation of the Act letters, 16 prosecuted by the Commission, and 159 cases closing without action.

The 178 cases that were sent warning letters for the period of May 3, 2011 through September 6, 2011, included: 74 Statement of Economic Interests failure to file violations, 67 campaign reporting violations, 14 Statement of Economic Interests failure to report violations, 10 lobbyist non-filing violations, 5 major donor violations, 3 conflict of interest violations, 2 mass mailing violations, 1 gift violation, 1 revolving door violation and 1 LCR violation. The 15 advisory for the same period included 8 campaign violations, 3 conflict of interest violations, 2 Statement of Economic Interests failure to report violations, 1 mass mailing violation, and 1 gift reporting violation.

Currently, the Enforcement Division has 578 cases in various stages of resolution, which include the 50 cases before the Commission as listed in the September 2011 agenda.

C. Audit Reports

Pursuant to Sections 90001, 90004 and 90006 of the Government Code, the Commission periodically conducts audits and prepares audit reports. Since my last report, the following audits have been completed:

Cathy Hackett and her controlled committee **Cathy Hackett for CalPERS Board Seat 1A**. Ms. Hackett was a candidate for CalPERS Board of Administration in the 2009 Member-at -Large Election.

Harmeet Dhillon and his controlled committee **Dhillon for Board of Equalization, District 1, 2010**. Mr. Dhillon was a candidate for Board of Equalization in the 2010 Primary Election.

Anthony Cannella and his controlled committee **Cannella for Senate 2010**. Mr. Cannella was elected to the State Senate in the 2010 General Election.

Alan Nakanishi and his controlled committee **Dr. Alan Nakanishi for Board of Equalization 2010**. Dr. Nakanishi was a candidate for Board of Equalization in the 2010 Primary Election.

George Runner and his controlled committee **Taxpayers for George Runner for Board of Equalization 2010**. Mr. Runner was elected to the Board of Equalization in the 2010 eneral Election.

Betty Yee and her controlled committee **Betty Yee for Board of Equalization 2010**. Ms. Yee was re-elected to the Board of Equalization in the 2020 General Election.